



## Local Stock Market

26 February 2021

Services	Charges	Remarks
<b>1. Hong Kong Shares Transactions Charge</b>		
Commission (Telephone)	0.25% on gross amount	Min HKD / CNY 100
Commission (Electronic)	0.15% on gross amount	Min HKD / CNY 80
SFC Transaction Levy	0.0027% on gross amount	-
HKEx Trading Fee	0.005% on gross amount	-
Stamp Duty	0.10% on gross amount	Round up to HKD 1
Settlement Fee	0.006% on gross amount	Min HKD / CNY 5
<b>2. China Shanghai A Shares Transactions Charges (Shanghai- Hong Kong Stock Connect)</b>		
Commission (Telephone)	0.25% on gross amount	Min HKD / CNY 100
Handling Fee	0.00487% on gross amount	-
Management Fee	0.002% on gross amount	-
Transfer Fee	0.008% on gross amount	-
Stamp Duty (Seller)	0.10% on gross amount (Seller)	-
<b>3. China Shenzhen A Shares Transactions Charge (Shenzhen-Hong Kong Stock Connect)</b>		
Commission (Telephone)	0.25% on gross amount	Min HKD / CNY 100
Handling Fee	0.00487% on gross amount	-
Management Fee	0.002% on gross amount	-
Transfer Fee	0.008% on gross amount	-
Stamp Duty (Seller)	0.10% on gross amount (Seller)	-
<b>4. Fund Transactions Charge</b>		
Subscription Fee	Up to 5%	-
Switching Fee	Up to 2%	-
Redemption Fee	Nil	Individual Fund Houses may impose redemption fee, calculated based on the number of years subscribed
Transfer Fee	Transfer In: Nil Transfer Out: HKD 200 per Fund	-
Platform Fee	Nil	-
<b>5. IPO Application</b>		
Non-financing	HKD / CNY 50	-
Margin Financing	HKD / CNY 100	Plus Margin Financing Interest
<b>6. Scrip Handling and Settlement-related Services</b>		
Transfer Deed	HKD 5 for each transfer deed	-
Physical Stock Deposit	Nil	-
Physical Stock Withdrawal	HKD / CNY 6 per board lot	Min HKD / CNY 30
Express Physical Stock Re-registration	HKD 200 per Stock	Plus CCASS Charges

Services	Charges	Remarks
<b>Receipt and Delivery involved change of beneficial ownership (Physical Stock or via CCASS)</b>	0.15% on gross amount	Min HKD / CNY 100
<b>Stock Deposit (via CCASS)</b>	Nil	-
<b>Stock Withdrawal (via CCASS)</b>	HKD / CNY 5 per board lot	Min HKD / CNY 30
<b>Multi-counter Transfer Instruction</b>	HKD 5 per transaction	-
<b>7. Nominee Service and Corporate Actions</b>		
<b>Custody Fee</b>	Nil	-
<b>Scrip Fee</b>	HKD 2 per board lot	-
<b>Cash Dividend Collection Fee</b>	0.50% of cash dividend collected plus 0.12% CCASS Collection Fee	Min HKD / CNY 30
<b>Scrip Dividend Collection Fee</b>	HKD / CNY 2 per bonus share board lot	Min HKD / CNY 30
<b>Bonus Share Collection Fee</b>	HKD / CNY 2 per bonus share board lot	Min HKD / CNY 30
<b>Rights / Warrant Exercise</b>	HKD / CNY 2 per board lot plus Corporate Action Fee HKD 0.80 per board lot	Min HKD / CNY 100
<b>Cash Offers and Other Corporation Actions with Cash Consideration</b>	0.50% on each cash consideration plus Corporate Action Fee HKD 0.80 per board lot	Min HKD / CNY 100
<b>Shares Consolidation or Shares Splitting</b>	Nil	-
<b>Handling Charge for Dividend Claims</b>	1% on gross offer amount Plus CCASS Charges	Min HKD / CNY 500
<b>8. Financing Interest Rate</b>		
<b>Margin Client</b>	Prime Rate + 5% p.a.	-
<b>Cash Client - Charges on Late Settlement</b>	Prime Rate + 13% p.a.	-
<b>9. Other Services</b>		
<b>Stock Quote Service</b>	International Program HKD 368/month China Program HKD 288/month	China Program is applicable for Mainland subscriber only
<b>Reissue Account Statement</b>	HKD 50 per copy (within 1 year) HKD 100 per copy (over 1 year)	-
<b>Handling Fee on Forced Liquidation</b>	HKD 500 per case	-
<b>Compulsory Share Buy-back Fee</b>	Default Fee: 0.50% on gross amount plus CCASS Charges	Min HKD / CNY 200
<b>Telegraph Transfer (TT)</b>	HKD 350 per case	Plus Bank Charges
<b>Local CHATS</b>	HKD 280 per case	-
<b>Return Cheque Charge</b>	HKD 300 per case	-
<b>Stop Payment Charge</b>	HKD 300 per case	-
<b>Cheque Mark Good</b>	HKD 250 per case	-
<b>Account Balance Confirmation Certificate Letter</b>	HKD 100 per case	-

China Zhong Heng Finance Group Limited reserves the right to change or amend the above terms of service at any time without prior notice.